

L&G Healthcare Breakthrough UCITS ETF

IE00BK5BC677

Issuer	LGIM Managers (Europe) Limited
Fund provider	Legal & General Investment Management Limited
Fund type/Asset class	ETF
Legal form	UCITS
Fund issue date	25/06/2019
Last Update	04.01.2024
Benchmark	ROBO Global® Healthcare Technology and Innovation Index TR
Tranche	-

Risk Indicator (PRIIP) 6 of 7	Licensed in D, A, CH, LU	Classification by SFDR -	EU Taxonomy -	% of Sustainable Investments according to SFDR -
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Principal Adverse Impacts

No explicit details regarding the principal adverse impacts.

Sustainable Development Goals

No explicit details regarding the Sustainable Development Goals

NORMAL ALLOCATION

Equities	99 %
Bonds	Excluded
Money market/Bank deposits	Excluded
Commodities	Excluded
Direct investments	Excluded
Derivatives	Excluded
Other	Cash 1 %

Sustainability approach

Principal Adverse Impacts	-
Further remarks on the consideration of SDGs	-
Rationale behind classification according to SFDR	-
Engagement	Yes, organized internally ↗ Link to engagement policy
Voting	Yes, organized internally ↗ Link to voting policy
Best-in/of-Class	No
ESG-Integration	Yes There are 48 LGIM employees with roles dedicated to ESG activity. This covers leadership positions to implement our responsible investing strategy across our Investment Stewardship, Investments, Distribution and Product teams, as well as our research and engagement groups that span both public and private assets. In addition, there are a further 62 colleagues whose roles involve a substantial contribution to our responsible investing capabilities and whose objectives reflect this, although they also have other responsibilities beyond ESG integration.
Norm-based screening	<ul style="list-style-type: none"> ✓ UN Global Compact ✓ ILO core labour standards ✓ OECD Guidelines for Multinational Enterprises
Themes	<ul style="list-style-type: none"> ✓ SDGs <p>The Fund has a sustainable objective as it invests in companies which (i) contribute to a social objective, (ii) do not significantly harm any environmental or social objectives and its investee companies follow good governance practices. The investment objective of the Fund is to provide exposure to the global healthcare technology industry. The Fund is passively managed and pursues its investment objective by seeking to track the performance of the Index. The Index differs from a broad market index as it seeks to provide exposure to companies which are actively engaged in the healthcare technology value-chain which seek to contribute to increased efficiency and effectiveness in healthcare and promote advancements and innovation in the healthcare industry. Further information on the Index can be found in the "Index Description" section below, and at http://roboglobal.com/eu-index.</p>
Impact Investing	<ul style="list-style-type: none"> ✓ Environmental ✓ Social ✓ Governance
Further information on the sustainability approach	-

Research and Transparency

In-house sustainability analysis	No, Global Research and Engagement Groups - The groups consist of over 70 analysts focused on tackling emerging ESG issues across a range of sectors agnostic to the capital structure. These include supply chains, biodiversity, and climate change
Research partners	Yes Sustainalytics
Percentage of portfolio with sustainability analysis	100 %
Strategically important suppliers included	No
Sustainability advisory committee	No The LGIM Companies' internal processes for identifying sustainability risks are largely supported by the Global Research and Engagement Groups which bring together representatives from the investment and investment stewardship teams in every region and
Analysis of portfolio CO2	No
Sustainability reporting	No https://www.lgim.com/uk/en/capabilities/investment-stewardship/
At least monthly portfolio publication	Yes ↗ Link to monthly portfolio publication
Certification/Label awarded by independent auditors	-

Environmental

EXCLUSION OF SECTORS / AREAS OF BUSINESS – COMPANIES

Fossil fuels (Coal, Oil and Gas)	Yes 5 % Turnover threshold
Nuclear power (operation and components)	Yes 5 % Turnover threshold
Green genetic engineering	No
Chlorine and agrochemicals (biocides)	No
Other	-

EXCLUSION OF BUSINESS PRACTICES – COMPANIES

Environmentally damaging behaviour	Yes
Damage to biodiversity	No
Other	-

EXCLUSION CRITERIA – COUNTRIES

Non-ratification of the Paris Climate Agreement	No
Non-ratification of the Protocol on Biosafety	No
Non-ratification of the UN Biodiversity Convention	No
Nuclear power by proportion of gross energy production	No
Other	-

Social

EXCLUSION OF SECTORS / AREAS OF BUSINESS – COMPANIES

Weapons/Armaments	Yes 5 % Turnover threshold
Cluster bombs and anti-personnel mines	Yes
Weapons of mass destruction (ABC/CBRN)	Yes
Tobacco products	Yes 5 % Turnover threshold
Pornography	Yes 5 % Turnover threshold
Spirits/Alcohol	Yes 5 % Turnover threshold
Gambling	Yes 5 % Turnover threshold
Other	-

EXCLUSION OF BUSINESS PRACTICES – COMPANIES

Labour rights (ILO core labour standards)	No
Exploitative child labour	No
Violation of human rights	No
Animal testing	No
Other	-

EXCLUSION CRITERIA – COUNTRIES

Labour rights	No
Violation of human rights	Yes
Military spending as a percentage of GDP	No
Death penalty, torture	No
Countries classified by Freedom House as not free	No
Violations of the Nuclear Non-Proliferation Treaty	No
Violations of other non-proliferation treaties	No
Other	-

Governance

EXCLUSION OF BUSINESS PRACTICES – COMPANIES

Corruption and bribery	Yes
Tax: planned avoidance & detected violations	No
Other	-

EXCLUSION CRITERIA – COUNTRIES

Corruption	No
Other	-

Quelle: Unternehmensangaben. | Für die Angaben der Unternehmen kann keine Haftung übernommen werden. Die Angaben dienen nur zur Information und stellen keine Beratung oder Empfehlung dar. Bitte beachten Sie den Haftungsausschluss unter www.forum-ng.org/disclaimer. Das FNG-Nachhaltigkeitsprofil bietet nur einen ersten Überblick und ist kein Ersatz für eine eigenständige Analyse. Weiterführende Erläuterungen finden Sie unter www.forum-ng.org