

Heptagon Future Trends Equity Fund

IE00BYWKMJ85

Issuer	Heptagon Capital
Fund provider	Heptagon Capital
Fund type/Asset class	Equity fund
Legal form	Open-ended investment fund
Fund issue date	12/01/2016
Last Update	05.07.2023
Benchmark	MSCI World Net TR Index USD
Tranche	-



Risk Indicator (PRIIP)
6 of 7

Licensed in
D, A, CH, LU

Classification by SFDR
-

EU Taxonomy
-

% of Sustainable Investments according to SFDR
-

Principal Adverse Impacts

No explicit details regarding the principal adverse impacts.

Sustainable Development Goals

No explicit details regarding the Sustainable Development Goals

NORMAL ALLOCATION

Equities	
Bonds	Excluded
Money market/Bank deposits	0 %
Commodities	Excluded
Direct investments	Excluded
Derivatives	Excluded
Other	-

Sustainability approach

Principal Adverse Impacts	-
Further remarks on the consideration of SDGs	-
Rationale behind classification according to SFDR	-
Engagement	Yes, organized internally Link to engagement policy
Voting	Yes, organized internally Link to voting policy
Best-in/of-Class	No
ESG-Integration	Yes A policy of responsible business investment is integral to the investment philosophy and process of the Heptagon Future Trends Equity Fund. Integrating ESG principles into our investment process enhances our ability to understanding existing and potential risks and opportunities for potential portfolio companies. The Fund is highly concentrated with low levels of turnover, and is sector, size, and geography agnostic. Portfolio construction is conducted bottom-up, with an emphasis on quantitative and qualitative factors as well as ESG considerations. The Fund aims to exclude companies that are directly involved in, and/or derive significant revenue from, industries or product lines in areas such as gambling, weapons, or tobacco and engages in active dialogue with companies to foster good ESG practices and improve the sustainability profile of companies in the long-term.
Norm-based screening	✓ UN Global Compact
Themes	<ul style="list-style-type: none"> ✓ Climate change ✓ Agriculture and forestry ✓ Renewable Energies ✓ Biodiversity ✓ Water ✓ SDGs ✓ Education <p>We seek to identify and invest in a diverse range of businesses offering exposure to the key trends which we believe will help shape the future. These naturally align with the United Nations Sustainability Development Goals. It is our view that these trends are poised to grow sustainably and in importance broadly regardless of the economic backdrop and regulatory dynamics.</p>
Impact Investing	-
Further information on the sustainability approach	Please refer to our website. Link to sustainability approach

Research and Transparency

In-house sustainability analysis	Yes, All analysis is done by the Portfolio Manager
Research partners	Yes MSCI ESG Research
Percentage of portfolio with sustainability analysis	100 %
Strategically important suppliers included	No
Sustainability advisory committee	No
Analysis of portfolio CO2	Yes, backward-looking indicator https://www.heptagon-capital.com/funds/heptagon-fund-icav-heptagon-future-trends-equity-fund-c-usd-acc
Sustainability reporting	Yes https://www.heptagon-capital.com/funds/heptagon-fund-icav-heptagon-future-trends-equity-fund-c-usd-acc
At least monthly portfolio publication	No
Certification/Label awarded by independent auditors	✓ FNG Label

Environmental

EXCLUSION OF SECTORS / AREAS OF BUSINESS – COMPANIES

Fossil fuels (Coal, Oil and Gas)	Yes
Nuclear power (operation and components)	Yes
Green genetic engineering	No
Chlorine and agrochemicals (biocides)	No
Other	-

EXCLUSION OF BUSINESS PRACTICES – COMPANIES

Environmentally damaging behaviour	Yes
Damage to biodiversity	Yes
Other	-

EXCLUSION CRITERIA – COUNTRIES

Non-ratification of the Paris Climate Agreement	N/A
Non-ratification of the Protocol on Biosafety	N/A
Non-ratification of the UN Biodiversity Convention	N/A
Nuclear power by proportion of gross energy production	N/A
Other	-

Social

EXCLUSION OF SECTORS / AREAS OF BUSINESS – COMPANIES

Weapons/Armaments	Yes
Cluster bombs and anti-personnel mines	Yes
Weapons of mass destruction (ABC/CBRN)	Yes
Tobacco products	Yes
Pornography	Yes
Spirits/Alcohol	Yes
Gambling	Yes
Other	-

EXCLUSION OF BUSINESS PRACTICES – COMPANIES

Labour rights (ILO core labour standards)	No
Exploitative child labour	Yes
Violation of human rights	Yes
Animal testing	No
Other	-

EXCLUSION CRITERIA – COUNTRIES

Labour rights	N/A
Violation of human rights	N/A
Military spending as a percentage of GDP	N/A
Death penalty, torture	N/A
Countries classified by Freedom House as not free	N/A
Violations of the Nuclear Non-Proliferation Treaty	N/A
Violations of other non-proliferation treaties	N/A
Other	-

Governance

EXCLUSION OF BUSINESS PRACTICES – COMPANIES

Corruption and bribery	Yes
Tax: planned avoidance & detected violations	Yes
Other	-

EXCLUSION CRITERIA – COUNTRIES

Corruption	N/A
Other	-

Quelle: Unternehmensangaben. | Für die Angaben der Unternehmen kann keine Haftung übernommen werden. Die Angaben dienen nur zur Information und stellen keine Beratung oder Empfehlung dar. Bitte beachten Sie den Haftungsausschluss unter www.forum-ng.org/disclaimer. Das FNG-Nachhaltigkeitsprofil bietet nur einen ersten Überblick und ist kein Ersatz für eine eigenständige Analyse. Weiterführende Erläuterungen finden Sie unter www.forum-ng.org